

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

1034232-000022

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/575239**

INTERNATIONAL APPLICATION NO.

PCT/JP2004/014969

INTERNATIONAL FILING DATE

8 Oct 2004

PRIORITY DATE CLAIMED

10 Oct 2003

TITLE OF INVENTION

OPTICAL RECORDING MEDIUM AND COMPOUND USED FOR THE SAME (as amended)

APPLICANT(S) FOR DO/EO/US

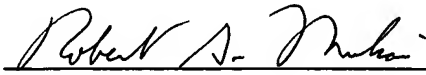
Hiroyoshi SHIOZAKI, Masataka MIYASATO, Tsutomu ISHIDA, Akira OGISO, Keiji UENO and Hideo USUI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission to items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 21 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Application Data Sheet; General Authorization for Petitions for Extension of Time and Payment of Fees; Forms PCT/IB/304 and 308.

U.S. APPLICATION NO. <b>10/575239</b> INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/014969</b>		ATTORNEY'S DOCKET NUMBER <b>1034232-000022</b>	
21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>  MITSUI CHEMICALS, INC., Tokyo, Japan.  </u>     		<b>CALCULATIONS PTO USE ONLY</b>	
22. <input checked="" type="checkbox"/> The following fees are submitted:			
Basic Filing Fee (1631)		\$ 300.00	
Surcharge of <b>\$130.00 (1617)</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE \$
Total Claims	24 - 20 =	4	x \$50.00 (1615)
Independent Claims	4 - 3 =	1	x \$200.00 (1614)
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00 (1616)
Examination Fee			+ \$200.00 (1633)
Search Fee			+ \$400.00 (1632)
App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)			\$ 500.00
TOTAL OF ABOVE CALCULATIONS			\$ 1,800.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			+ \$ 0.00
SUBTOTAL =			\$ 1,800.00
Processing fee of <b>\$130.00 (1618)</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$ 0.00
TOTAL NATIONAL FEE =			\$ 1,800.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 (8021)</b> per property +			\$ 40.00
TOTAL FEES ENCLOSED =			\$ 1,840.00
			Amount to be refunded :
			charged :
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.			
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>  02-4800  </u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>  02-4800  </u> . A duplicate copy of this sheet is enclosed.			
d. <input checked="" type="checkbox"/> Charge <u>  \$ 1,840.00  </u> to credit card. Form PTO-2038 is attached.			
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>			
SEND ALL CORRESPONDENCE TO:  Buchanan Ingersoll PC Including attorneys from Burns, Doane, Swecker & Mathis P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620			
		 _____ SIGNATURE	
		_____ NAME	
		_____ 28,531	_____ April 10, 2006
		REGISTRATION NO.	DATE